

**EXPRESS MAIL RECEIPT NO. ET897767404US
DEPOSITED ON DECEMBER 21, 2001**

**PATENT
DKT. P1572US01**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: **Michael W. Pfeiffer**

for **COMPLIANCE MECHANISM FOR MANIPULATING A CONTROL OBJECT**, the
specification of which is being transmitted herewith.

**Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

31011 U.S. PTO
10/032176
12/21/01

#8

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

PTO/SB/08A (08-00)

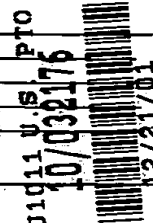
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Approved for use through 10/31/2002; OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO <p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center;">(use as many sheets as necessary)</p> <p style="text-align: center;">Sheet <u>1</u> of <u>2</u></p>	<p style="text-align: right;">Complete if Known</p> Application No.: <u>Not Yet Assigned</u> Filing Date: <u>December 21, 2001</u> First Named Inventor: <u>Michael W. Pfeiffer</u> Group Art Unit: <u>Unknown</u> Examiner Name: <u>Unknown</u> Attorney Docket Number: <u>P1572US01</u>
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	4,332,066	Hailey, et al.	06-01-1982	
	AB	4,400,885	Consales	08-30-1983	
	AC	4,409,736	Seltzer	10-18-1983	
	AD	4,595,334	Sharon	06-17-1986	
	AE	4,789,292	Holcomb	12-06-1988	
	AF	4,910,859	Holcomb	03-27-1990	
	AG	5,116,190	Silke	05-26-1992	
	AH	5,419,674	Chang	05-30-1995	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2**Complete if Known**Application No.: Not Yet AssignedFiling Date: December 21, 2001First Named Inventor: Michael W. PfeifferGroup Art Unit: UnknownExaminer Name: UnknownAttorney Docket Number: P1572US01

J1011 U.S. PTO
10/032176
12/21/01

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Office ³ Foreign Patent Document Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

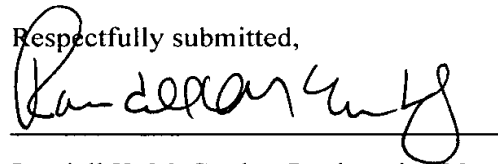
Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 12/21/01

Respectfully submitted,



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